



Sent via CFTC online portal
Christopher Kirkpatrick
Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street NW
Washington, DC 20581

August 29, 2017

RE: Clear Markets North America, Inc. – Rule Amendments

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(a), Clear Markets North America, Inc. (“CMSEF”) hereby notifies the Commission that it has amended its Rulebook.

CMSEF is amending the Rulebook to enhance compliance with the Commodity Exchange Act (the “CEA”) and CFTC Regulations, including the swap execution facility (“SEF”) Core Principles, and to provide clarity for Participants on CMSEF. The amendments to the Rulebook, as well as explanations, are attached as Exhibit A. A copy of the amended Rulebook is also attached, as Exhibit B.

The amended Rules will take effect on September 12, 2017.

CMSEF hereby certifies that: (i) the amended Rulebook complies with the CEA and the CFTC Regulations thereunder, and (ii) this submission is being concurrently posted on the CMSEF website.

* * * * *

If you have any questions regarding the above, please contact me at shawn@clear-markets.com or 980- 819-1100.

Respectfully submitted,


Shawn A. Dorsch
President and COO
Clear Markets North America, Inc.

Attachments: Exhibit A: CMSEF Rulebook Amendments and Explanations
Exhibit B: CMSEF Rulebook (Clean and Redline)



Exhibit A: CMSEF Rulebook Amendments and Explanations

Rule Number	Rule Amendment	Explanation and Support for Rule Amendment
Rule 303A	Rule amended to add Registered Broker to Eligibility Criteria	This amendment is to ensure consistency between Rule 303A and Rules 302B, 406, and 407B



Exhibit B: CMSEF Rulebook

[See Next Page]